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2	The Effect of Vitamin D and Inhaled Corticosteroid Treatment on Lung Function in
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42 Short Running Head: Vitamin D and Inhaled Corticosteroids in Asthmatics

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45 At a Glance Commentary: This is the first study to suggest that vitamin D sufficiency in

46 patients treated with inhaled corticosteroids.is associated with improved lung function in

- 47 patients with mild to moderate persistent asthma. When treating patients with persistent
- 48 asthma with inhaled corticosteroids, vitamin D levels should be monitored.

50 ABSTRACT

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Rationale: Low vitamin D levels are associated with asthma and decreased airway 53 responsiveness. Treatment with inhaled corticosteroids improves airway responsiveness and 54 asthma control. 55 56 **Objective:** To assess the effect of vitamin D levels on pre-bronchodilator forced expiratory 57 volume in 1 second (FEV₁), bronchodilator response (BDR), and responsiveness to 58 methacholine (PC₂₀) in asthmatics treated with inhaled corticosteroids. 59 60 Methods: We measured 25-hydroxyvitamin D levels in the serum of children with persistent 61 asthma at the time of enrollment in the Childhood Asthma Management Program. We 62 divided subjects into the vitamin D sufficiency (>30 ng/ml), insufficiency (20-30 ng/ml), and 63 deficiency (<20 ng/ml) groups. Covariates included age, treatment, gender, BMI, race, 64 history of emergency department visits, hospitalizations, and season that vitamin D specimen 65 was drawn. Our main outcome measures were change in pre-bronchodilator FEV₁, BDR, 66 and PC_{20} from enrollment to 8-12 months. 67 68 **Results:** Of the 1024 subjects, 663 (65%) were vitamin D sufficient, 260 (25%) were 69 insufficient, and 101 (10%) were deficient. Vitamin D deficient subjects were more likely to 70 be older, be African American and have higher BMI compared to the vitamin D sufficient 71 and insufficient subjects. In the inhaled corticosteroid treatment group, pre-bronchodilator

72 FEV₁ increased from randomization to 12 months by 140 ml in the vitamin D deficient group

73	while prebronchodilator FEV_1 increased by 330 ml in the vitamin D insufficiency group and
74	290 ml in the vitamin D sufficiency group (p=0.0072), in adjusted models.
75	
76	Conclusion: In asthmatic children treated with inhaled corticosteroids, vitamin D deficiency
77	is associated with poorer lung function than children with vitamin D insufficiency or
78	sufficiency.
79	
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82	Key Words: asthma, vitamin D, lung function, bronchodilator response, forced expiratory
83	volume, children
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85	Abbreviations:
86	BMI: Body Mass Index
87	CAMP: Childhood Asthma Management Program
88	ED: Emergency Department
89	FEV ₁ : Forced expiratory volume in one second
90	FVC: Forced vital capacity
91	NAEPP: National Asthma Education and Prevention Program
92	PC20: Provocative concentration of methacholine producing a 20% decline in FEV_1
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96 INTRODUCTION

The prevalence of both asthma, the most common chronic illness in children,¹ and 97 98 vitamin D deficiency have dramatically increased in recent years, suggesting they may be linked.^{2,3} Multiple studies have supported the hypothesis that asthma and vitamin D 99 deficiency are related,^{2, 3} but few studies have examined the direct effects of vitamin D levels 100 101 and corticosteroid treatment on lung function in children with asthma. 102 Low levels of vitamin D are associated with reduced lung function in adults. An 103 analysis of cross-sectional data from the Third National Health and Nutrition Examination 104 Survey found that serum vitamin D was associated with forced expiratory volume in 1 second (FEV₁) in a general population.⁴ Li et al found that in adults with asthma, serum 105 vitamin D levels were positively correlated with FEV₁, FEV₁ percent predicted, and the ratio 106 of FEV₁/forced vital capacity (FVC).⁵ In children, low vitamin D levels have been found to 107 be associated with increased frequency of asthma exacerbations⁶ and increased markers of 108 allergy and asthma severity.^{7, 8} 109 110 Treatment with inhaled corticosteroids improves airway responsiveness and asthma control.9 Furthermore, use of inhaled corticosteroids is inversely correlated with vitamin D 111

112 levels.¹⁰ An experimental model of corticosteroid resistance suggested that vitamin D may

113 restore the immunosuppressive function of dexamethasone.¹⁰ Thus, vitamin D

114 supplementation may further accentuate the anti-inflammatory function of corticosteroids in

115 patients with asthma.¹⁰ If vitamin D levels improve lung function in children, then treatment

116 with inhaled corticosteroids may potentiate this effect, but this has not been demonstrated

117 clinically.

118	We hypothesize that vitamin D levels may modulate the effect of inhaled
119	corticosteroids on lung function and airway responsiveness. The objectives of this study
120	were to assess whether vitamin D levels modulate the effect of inhaled corticosteroids on pre-
121	bronchodilator (pre-BD) FEV_1 , bronchodilator response to inhaled beta-agonists (BDR), and
122	methacholine challenge test results (PC_{20}).
123	PATIENTS AND METHODS
124	Design
125	We conducted an analysis using data from the Childhood Asthma Management
126	Program (CAMP), a multi-center trial of 1041 children with mild to moderate persistent
127	asthma between the ages of five and 12 years who were randomly assigned to receive
128	budesonide (inhaled corticosteroid), nedocromil, or placebo. Details of the CAMP clinical
129	trial have been published. ⁹ The institutional review board at the eight participating
130	institutions approved the study. ⁹
131	Data Collection
132	Nurse coordinators obtained spirometry measurements on the subjects before and
133	after bronchodilator at randomization and at 12 months. BDR was calculated at each visit as
134	FEV_1 ([post-bronchodilator FEV_1 – pre-bronchodilator FEV_1]/pre-bronchodilator FEV_1).
135	The subjects' airway responsiveness to methacholine was measured by calculating the
136	concentration of methacholine that caused a 20 percent decrease in the FEV_1 at
137	randomization and 8 months after randomization (PC_{20} was not measured at the 12 month
138	post-randomization visit). The concentration that provoked a 20% decrease from post-
139	diluent FEV_1 was obtained by linear interpolation of logarithmic dose-response curve
140	expressed as PC ₂₀ Race/ethnicity, family income, and parental education were determined by

Serum levels of 25-hydroxyvitamin D (referred to as vitamin D) are thought to be the best circulating biomarker of vitamin D metabolic status and reflect contributions from all sources of vitamin D, including diet and sun exposure.¹¹ A single measurement of vitamin D was obtained on 1024 subjects (98% of enrolled subjects) using a radioimmunoassay method using stored serum samples that had been frozen since randomization,^{12, 13} as previously reported.⁶ We categorized vitamin D levels into deficient (\leq 20 ng/ml), insufficient (20-30 ng/ml), and sufficient (> 30 ng/ml) based on previous studies.¹⁴

Our main outcome measures were the change in BDR and pre-bronchodilator FEV_1 from randomization to 12 months and log PC_{20} between randomization and 8 months. We chose to study the change in our outcome measurements over 8-12 months in order to capture the effects of both treatment and vitamin D level on lung function. We chose to study the first 8-12 months of the CAMP clinical trial because the maximum effect of inhaled corticosteroids on BDR was seen at 12 months and vitamin D levels were available at randomization.⁹

157 Statistical Analyses

Analyses were conducted in SAS version 9.1 (SAS Institute, Cary, NC, 2007). In bivariate analyses, we evaluated the association of vitamin D sufficiency, insufficiency, or deficiency and independent variables. We studied the association of vitamin D sufficiency, insufficiency, or deficiency with our main outcome measures pre-bronchodilator FEV1, BDR, and log PC20. We studied the association of the change in each of our outcome

163 measures from randomization to 8 months (PC_{20}) or 1 year (pre-bronchodilator FEV₁, BDR)

164	in all of the treatment groups. In multivariate analyses using least squares means regression,
165	we built forced-entry models with the variables significant at $p \le 0.20$, which included age,
166	race, BMI, history of ED visit, gender, and season that vitamin D specimen was drawn.
167	Variables significant at $p \le 0.10$ were retained in the final multivariable models.
168	RESULTS
169	Baseline
170	Of the 1041 subjects in the trial, 1024 (98%) subjects had serum vitamin D
171	measurements at randomization, and 663 (65%) of these subjects were vitamin D sufficient,
172	260 (25%) were vitamin D insufficient, and 101 (10%) were vitamin D deficient. As shown
173	in Table I, the subjects in the vitamin D deficient group were older than the subjects in
174	vitamin D sufficient and insufficient groups (mean age 9.71 years versus 8.76 years and 9.10
175	years, p< 0.0001). The mean weight of subjects with vitamin D deficiency was 39.0 kg ,
176	which was significantly more than vitamin D sufficient and insufficient subjects who
177	weighed 32.1 kg and 34.5 kg respectively, p<0.0001. The mean height of vitamin D deficient
178	subjects was 138 cm, which was significantly taller than vitamin D sufficient and insufficient
179	subjects who had a mean height of 132 cm and 135 cm respectively, p=0.0003. Vitamin D
180	deficient subjects had higher BMI at 19.8 kg/m2 compare to 17.8 kg/m2 in vitamin D
181	sufficient subjects and 18.5 kg/m2 in vitamin D insufficient subjects, p<0.0001. African
182	American subjects (35%) were more likely to be vitamin D deficient than the Caucasian
183	(5%), Hispanic (10%), and other (11%) subjects (p<0.0001). Fewer subjects in the inhaled
184	corticosteroid treatment group were vitamin D deficient (7%) compared to the nedocromil
185	(12%) and placebo (11%) groups (p=0.03). Subjects who had their blood drawn for vitamin
186	D levels in the summer or fall were more likely to be in the vitamin D sufficient group, with

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187 77% of subjects who had vitamin D levels drawn in the summer being in the vitamin D 188 sufficient group and 67% for subjects who had levels drawn in the fall. In comparison, 59% 189 of subjects who had vitamin D levels drawn in the winter and 54% of subjects with levels 190 drawn in the spring were in the vitamin D sufficient group (p<0.0001). There were no 191 differences in vitamin D sufficiency, insufficiency, or deficiency by gender, parental 192 education, household income, or history of hospitalizations. Subjects who had a history of 193 experiencing ED visits were more likely to be vitamin D sufficient (59% were vitamin D 194 sufficient while 30% were vitamin D insufficient), however, of subjects who did not have a 195 history of ED visits, 67% were vitamin D sufficient and 23% were vitamin D insufficient, 196 p=0.026.

197 Follow-up

As shown in Table II, there are no differences in pre-bronchodilator FEV_1 , BDR, log PC₂₀ at 8 to 12 months by vitamin D sufficiency, insufficiency, or deficiency groups. Even after stratifying by treatment group, there are no differences in these parameters.

201 No differences were seen in the change in pre-bronchodilator FEV1, BDR, log PC20 202 at 8 to 12 months when comparing the vitamin D groups when all treatment groups were 203 combined. Furthermore, the change in BDR and PC_{20} were similar for the budesonide,

204 nedocromil, and placebo groups

Table III shows the change in pre-bronchodilator FEV_1 by vitamin D categories in the entire CAMP population over the first year of the trial. In subjects treated with inhaled corticosteroids, adjusted (age, gender, race, BMI, history of ED visit, season that vitamin D specimen was drawn) least squares regression demonstrated that being vitamin D sufficient or insufficient was associated with greater pre-bronchodilator FEV_1 change over 12 months

210	compared with the vitamin D deficient group. When treated with inhaled corticosteroids
211	while being vitamin D sufficient, subjects experienced an increase of 0.30 liters in pre-
212	bronchodilator FEV_1 , whereas when treated with inhaled corticosteroids, vitamin D
213	insufficient subjects experienced an increase of 0.31 liters, and subjects who were vitamin D
214	deficient experienced an increase of 0.14 liters in prebronchodilator FEV ₁ ($p=0.0072$). We
215	conducted a similar analysis with FEV1 percent predicted as the main outcome and we found
216	similar results. When treated with inhaled corticosteroids while being vitamin D sufficient,
217	subjects experienced an increase of 5.2% in pre-bronchodilator FEV ₁ percent predict whereas
218	when treated with inhaled corticosteroids, vitamin D insufficient subjects experienced an
219	increase of 6.1%, and subjects who were vitamin D deficient experienced an decrease of
220	1.5% in prebronchodilator FEV ₁ percent predicted ($p=0.036$). Figure 1 depicts the change in
221	pre-bronchodilator FEV_1 and pre-bronchodilator FEV_1 percent predicted from randomization
222	to 12 months in the subjects treated with inhaled corticosteroids for the vitamin D deficiency,
223	insufficiency, and sufficiency groups, while adjusting for age, gender, race, BMI, history of
224	ED visit. Adjusted (age, gender, race, BMI, history of ED visit, season that vitamin D level
225	was drawn) least squares regression demonstrated that in the inhaled corticosteroid group, the
226	change in BDR decreased by 0.035 over 12 months for subjects who were vitamin D
227	sufficient while the change in BDR decreased by 0.057 in subjects who were vitamin D
228	insufficient, and increased by 0.0053 for subjects who were vitamin D deficient; however,
229	this finding did not reach statistical significance (p=0.10). We explored whether there was as
230	linear relationship between vitamin D level and change in pre-bronchodilator FEV_{1} , BDR,
231	and PC20, and did not find a relationship after adjusting for age, gender, race, BMI, history
232	of ED visit, and season that vitamin D level was drawn.

DISCUSSION

234 Prior work in children has supported a role for vitamin D in preventing asthma 235 exacerbations in children in the CAMP trial, especially those children treated with inhaled 236 corticosteroids.⁶ Our current study found that children with asthma who are deficient in 237 vitamin D levels have less improvement in pre-bronchodilator FEV₁ over the course of one 238 year when treated with inhaled corticosteroids as compared to children who are sufficient in 239 vitamin D. These findings support the hypothesis that vitamin D supplementation may 240 enhance the anti-inflammatory function of corticosteroids in asthma patients. 241 Strengths of our study include a well characterized cohort of children with asthma, 242 ascertainment of vitamin D levels at randomization, and carefully measured lung function 243 measures. Our bivariate results that found older age, African American race, and higher BMI are associated with lower vitamin D levels have been seen in previous studies.^{14, 15} Multiple 244 previous studies have found that inhaled corticosteroids improve lung function.¹⁶ Our 245 246 finding that children who are vitamin D deficient are more likely to have lower lung function 247 is consistent with a previous study that demonstrated that in children with exercise-induced bronchoconstriction, lower vitamin D levels were associated with reduced lung function.¹⁷ 248 249 Our study's result that asthmatic children who are vitamin D sufficient have improved lung 250 function is also supported by other studies. Searing et al found that vitamin D levels were 251 associated with FEV₁ percent predicted and FEV₁/FVC ratio, however, they had a small 252 sample size of 59 subjects with spirometric results and they did not have longitudinal measures.¹⁰ The apparent paradox of the decrease in BDR over time in vitamin D sufficient 253 versus deficient subjects is appropriate because as pre-bronchodilator FEV₁ increases, BDR 254 decreases (i.e. subjects are maximally dilated at baseline).¹⁸ 255

256	Our findings are supported by Sutherland et al who found that reduced vitamin D
257	levels are associated with impaired lung function especially in adults who are not being
258	treated with inhaled corticosteroids. ¹⁹ The authors suggested that vitamin D supplementation
259	could be especially beneficial in patients who are not treated with inhaled corticosteroids. ¹⁹
260	In vitro studies also support the findings of our study. Searing et al found that vitamin D
261	potentiates glucocorticoid action in peripheral blood mononuclear cells in vitro. ¹⁰
262	Despite the strengths of our study, some caveats deserve mention. We chose to study
263	children who are vitamin D deficient compared to children who are insufficient and
264	sufficient; however, the sample size of children who were vitamin D deficient was relatively
265	small with 101 subjects. The number of subjects who were vitamin D deficient and in the
266	inhaled corticosteroid arm was even smaller. Nevertheless, this sample size is larger than
267	previous studies. ¹⁰ Furthermore, a high proportion of the vitamin D deficient group is
268	African American, and in addition, a high proportion of ICS resistant asthmatics are African
269	American. This finding is consistent with vitamin D biology where high melanin content in
270	the skin limits UVB light absorption, which is the major biologic source of vitamin D. Race
271	and skin color are not confounders in our analysis since they are in the causal pathway
272	defining low vitamin D. ²⁰

In addition, our sample size was not large enough to conduct our analyses stratified by race, although we did adjust for race in our analyses. Based on the biology described above, this is probably an over adjustment and hence conservative. Future prospective studies will help address these questions. Other limitations include that we only studied vitamin D levels at one time point; given the low reproducibility of vitamin D levels this would likely be a null bias and would have biased our results in a direction of not finding a significant

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279	effect of vitamin D on lung function. A prospective study to validate findings is needed.
280	Furthermore, we did not find a dose-response relationship between vitamin D levels and
281	change in FEV1, BDR, or PC20; however, we did not expect to be able to assess a dose-
282	response relationship because the CAMP population does not have a full range of vitamin D
283	levels in the vitamin D sufficiency category with a mean level of 37.8 ng/ml [SD 15.7].
284	In conclusion, vitamin D sufficiency in patients treated with inhaled corticosteroids is
285	associated with improved lung function in patients with mild to moderate persistent asthma.
286	Monitoring vitamin D levels and/or supplementing with vitamin D could be considered
287	during inhaled corticosteroid treatment for patients with asthma.
288	

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- 69 Table I. Baseline demographic variables stratified by Vitamin D deficiency (≤20ng/ml),
- 70 insufficiency (20-30ng/ml), and sufficiency (>30ng/ml). For continuous measures, we
- 71 provide means and standard deviations in brackets.
- 72

N=1024	Vitamin D	Vitamin D	Vitamin D	р
	sufficient	insufficiency	deficient	
	n=663	n=260	n=101	
Age, years [SD]	8.76 [2.10]	9.10 [2.17]	9.71 [1.98]	< 0.0001
Treatment group				0.03
Inhaled corticosteroid	71% (216)	23% (69)	7% (20)	
Nedocromil	59% (182)	29% (89)	12% (36)	
Placebo	64% (265)	25% (182)	11% (45)	
Gender				0.39
Male	66% (405)	24%(146)	10% (60)	
Female	62% (258)	28%(114)	10% (41)	
Weight, kg [SD]	32.1 [11.2]	34.5 [12.5]	39.0 [14.3]	< 0.0001
Height, cm [SD]	132.4 [13.7]	134.8 [14.1]	138.0 [13.3]	0.0003
Body Mass Index	17.8 [3.2]	18.5 [3.7]	19.8 [4.3]	< 0.0001
$kg/m^{2}[SD]$				
Race				< 0.0001
Caucasian	72% (507)	23% (159)	5% (34)	
African American	34% (46)	31% (41)	35% (47)	
Hispanic	63% (62)	27% (26)	10% (10)	
Other	52% (48)	37% (34)	11% (10)	
Education				0.81
High School or less	66% (121)	24% (43)	10% (19)	
Some College	65% (542)	26% (216)	10% (82)	
Income				0.42
<\$30,000	64% (152)	25% (59)	12% (28)	
≥\$30,000	65% (488)	26% (192)	9% (66)	
History ED visits				0.026
Absent	67% (457)	23% (155)	10% (66)	
Present	59% (206)	30% (105)	10% (35)	
Hospitalization history				0.88
Absent	65% (598)	25%(232)	10% (90)	
Present	63% (65)	27% (28)	11% (11)	
Season that vitamin D				< 0.0001
level was drawn				
Winter	59% (126)	24% (52)	17% (36)	
Spring	54% (181)	33% (112)	13% (43)	
Summer	77% (222)	18% (51)	6% (16)	
Fall	67% (124)	25% (47)	8% (14)	

- Table II. Lung function by vitamin D deficiency at 8 months (log PC20) to 12 months 75
- (Prebronchodilator FEV₁, BDR). N=1024. 76

		Pre-	р	BDR	р	Log PC20	р
		bronchodilator		at 12		at 8	
		FEV_1		months		months	
		(liters/second)					
		at 12 months					
Inhaled corticosteroid	Vitamin D sufficient n=210	1.93 [0.73]	0.88	0.073 [0.06]	0.45	4.91 [8.40]	0.55
	Vitamin D insufficient n=66	2.01 [0.65]		0.072 [0.071]		4.22 [7.95]	
	Vitamin D	1.97 [0.43]		0.085		6.35	
	deficient n=20			[0.083]		[11.45]	
Nedocromil	Vitamin D sufficient n=180	1.84 [0.55]	0.95	0.10 [0.087]	0.83	5.42 [9.81]	0.58
	Vitamin D insufficient N=85	1.90 [0.57]		0.11 [0.11]		3.47 [6.54]	
	Vitamin D deficient n=36	1.85 [0.54]		0.11 [0.13]		5.85 [9.57]	
Placebo	Vitamin D sufficient n=255	1.85 [0.53]	0.33	0.10 [0.094]	0.52	3.23 [5.83]	0.30
	Vitamin D insufficient n= 99	1.88 [0.58]		0.12 [0.13]		3.03 [5.10]	
	Vitamin D deficient n=43	1.94 [0.67]		0.12 [0.096]		4.56 [8.35]	

77 78 79

- 82 Table III. Change in pre- bronchodilator FEV₁ between randomization and 12 months in
- 83 liters/second by vitamin D deficiency. N=1024. *Adjusted for age, sex, BMI, history of ED
- 84 visit, race, and season that vitamin D specimen was drawn. Reference group is Vitamin D
- 85 sufficient group.

Treatment	Vitamin D	Vitamin D	p-	Vitamin D	p-value*
Group	sufficient	insufficiency	value*	deficient	
	Mean change	Mean change in		Mean change	
	in pre-	pre-		in pre-	
	bronchodilator	bronchodilator		bronchodilator	
	FEV_1	FEV_1		FEV_1	
	n=663	n=260		n=101	
Inhaled	0.30 [0.023]	0.33 [0.031]	0.45	0.14 [0.057]	0.0072
corticosteroid					
n=305					
Nedocromil	0.19 [0.039]	0.17 [0.028]	0.18	0.25 [0.023]	0.83
n=307					
Placebo	0.23 [0.020]	0.24 [0.025]	0.54	0.16 [0.033]	0.06
n=412					

Wu et al. Page 24 88 Figure I. Change in pre-bronchodilator FEV1 (Figure 1A) and pre-bronchodilator FEV1 percent 89 predicted (Figure 1B) from randomization to 12 months for the vitamin D deficiency (solid line, 90 n=20, insufficiency (dotted line, n=69), and sufficiency (dashed line, n=216) groups, while 91 adjusting for age, gender, race, BMI, history of ED visit, and season that vitamin D level was 92 drawn in patients treated with inhaled corticosteroids. The differences in pre-bronchodilator 93 FEV1 and pre-bronchodilator FEV1 percent predicted for the vitamin D groups were obtained 94 with multivariate analyses using least squares means regression. As demonstrated in Figure 1B, 95 the change in pre- bronchodilator FEV1 for the vitamin D deficiency group (p=0.0072) is 96 significantly less than the vitamin D sufficient group (reference group). The change in pre-97 bronchodilator FEV1 for the vitamin D insufficiency group is not significantly different from the 98 vitamin D sufficient group (p=0.45). There were no significant differences in baseline pre-99 bronchodilator FEV_1 values between the groups at randomization. Figure 1B shows similar 100 results for pre-bronchodilator FEV1 percent predicted in subjects treated with inhaled 101 corticosteroids. Vitamin D sufficient subjects experienced an increase of 5.2% in pre-102 bronchodilator FEV1 percent predicted, vitamin D insufficient subjects experienced an increase 103 of 6.1%, and subjects who were vitamin D deficient experienced an decrease of 1.5% in 104 prebronchodilator FEV1 percent predicted (p=0.036 compared to vitamin D sufficient group). 105