Blood Eosinophils and World Trade Center Exposure Predict Surgery in Chronic Rhinosinusitis: A 13.5-Year Longitudinal Study

Sophia Kwon¹, Barbara Putman², Jessica Weakley⁶, Charles B. Hall⁵, Rachel Zeig-Owens^{3,6}, Theresa Schwartz⁶, Brianne Olivieri⁶, Ankura Singh⁶, Maryann Huie⁴, Debra Morrison⁴, Mayris P. Webber^{3,5}, Hillel W. Cohen³, Kerry J Kelly³, Thomas K. Aldrich⁶, Anna Nolan^{1,3}, David J. Prezant^{6,3}, Michael R. Shohet⁷, Michael D. Weiden^{1,3}

¹Pulmonary, Critical Care and Sleep Medicine Division, Department of Medicine, New York University School of Medicine, New York, New York

² Department of Respiratory Medicine, Ghent University Hospital, Ghent, Belgium

³The Bureau of Health Services and Office of Medical Affairs, Fire Department of New York City, Brooklyn, New York

⁴ Immune Monitoring Core, New York University School of Medicine, New York, New York

- ⁵Division of Biostatistics, Department of Epidemiology and Population Health, Albert Einstein College of Medicine, Bronx, New York
- ⁶Pulmonary Medicine Division, Department of Medicine, Montefiore Medical Center and Albert Einstein College of Medicine, and

⁷Department of Otolaryngology, Icahn School of Medicine at Mount Sinai, New York, New York

Corresponding Author: Dr. Weiden New York University School of Medicine New Bellevue Hospital 7N24, 550 First Ave New York New York 10016, 212 263 6479 or at <u>michael.weiden@nyumc.org</u>

Funding: NIOSH-contracts #200-2011-39383 & #200-2011-39378; U01-OH010726; U01-OH010711,R01HL119326

MeSH: Sinusitis, Otolaryngology Surgery, Eosinophils, Particulate Matter, Cohort Studies, Longitudinal Studies, Proportional Hazards Models

Running Title: Blood Eosinophils and Sinus Surgery

Word Count; Body 3,368

Abstract

Rationale: The World Trade Center (WTC) collapse generated caustic airborne particulates that caused chronic rhinosinusitis in exposed fire department of New York (FDNY) firefighters.
Surgery was performed when symptoms remained uncontrolled despite medical management.
Objectives: To identify predictors of surgical intervention for chronic rhinosinusitis in

firefighters exposed to airborne irritants at the WTC collapse site.

Methods: We assessed in 8,227 firefighters with WTC-exposure between 9/11/2001 (9/11) and 9/25/2001, including WTC-site arrival time, months of rescue/recovery work, and eosinophil concentration measured between 9/11 and 3/10/2003. We assessed the association of serum cytokines and immunoglobulins with eosinophil concentration and surgery for rhinosinusitis in 112 surgical cases and 376 controls with serum available from the first 6 months after exposure to the WTC collapse site.

Measurements and Main Results: Between 9/11 and 3/10/2015, the surgery rate was 0.47 cases per 100 person years. In the first 18 months post 9/11, surgical patients had higher mean blood eosinophil levels than study cohort patients(219 ± 155 vs. 191 ± 134 ; P <0.0001). Increased surgery risk was associated with increasing blood eosinophil counts (HR 1.12 per 100 cells/uL; 95% Cl 1.07 to1.17; P <0.001); arriving at the WTC site 9/11 or 9/12/2001 (HR 1.43; 95% Cl 1.04 to 1.99; P=0.03); and working ≥6 months at the WTC-site (HR 1.48; 95% Cl 1.14 to 1.93; P<0.01). Median blood eosinophil levels for surgery patients were above levels for the cohort in all 18month intervals 3/11/2000 through 3/10/2015 using 51,163 measurements representing 97,733 person-years of observation. Increasing age, increasing IL-17A and low IgA in serum from 2001-2002 predicted blood eosinophil concentration in surgical patients but not in controls (R^2 =0.26, p<0.0001 vs. R^2 =0.008, p=0.56).

Conclusions: Increasing blood eosinophil concentration predicts surgical intervention for chronic rhinosinusitis, particularly in those with intense acute and prolonged exposure to airborne irritants. WTC-exposed FDNY firefighters who underwent irritant-associated sinus surgery are immunologically different from the cohort. Surgical patients have a higher blood eosinophil levels that is associated with mediators of mucosal immunity.

Abstract Word Count: 324

Page 4 of 29

Rhinosinusitis is a syndrome producing obstruction to nasal airflow, drip, anosmia, and facial pain. Chronic rhinosinusitis (CRS) is defined as this constellation of symptoms lasting 3 or more months. The prevalence of CRS is between 13% and 17%, with 600,000 sinus surgeries performed in the United States of America during 2006.¹⁻³ Analysis of ICD9-coded electronic medical records (EMR) from a large private health system estimated a CRS incidence of 1.1 cases per 100 person-years.⁴

In 2012, the current European position paper on rhinosinusitis and nasal polyps recommended that sinus inflammation should be objectively confirmed with by computed tomographic (CT) imaging and/or endoscopy and argued for separation into two distinct entities – chronic rhinosinusitis without nasal polyps (CRSsNP) and chronic rhinosinusitis with nasal polyps (CRSwNP)⁵. In spite of high disease burden and associated morbidity, few longitudinal studies have provided data on risk factors for CRS, limiting approaches to model disease outcomes and institute effective prevention.^{4,11-13}

Environmental particulate matter exposure is a risk factor for upper and lower airway disease.^{14,15} The collapse of the World Trade Center (WTC) on 9/11/2001 (9/11) produced a dust cloud containing alkaline pulverized concrete and other building materials that irritated mucosal surfaces of the aerodigestive system. All individuals present at the WTC site prior to 9/13/2001 sustained intense particulate exposure, which produced upper airway symptoms including nasal congestion and drip in most survivors.¹⁶

Rainfall 3 days after 9/11 reduced dust levels, and may have played a role in lower levels of persistent aero-digestive symptoms in later arriving FDNY firefighters.¹⁷ Digging activities to recover human remains continued through July 2002, producing chronic particulate

re-exposures. Upper airway filtration of larger particles protects the lower airway, but can produce inflammation of the nose and paranasal sinuses leading to CRS, which has, over time, become a major health concern in WTC-exposed populations.¹⁸⁻²¹ We have previously shown that chronic particulate exposure, defined by months of firefighter work at the WTC-site, predicted health care utilization, including sinus surgery.¹⁷

CRS has multiple inflammatory endotypes.²² Innate as well as Th2 and Th17-driven eosinophilic responses are observed in specimens from surgically treated CRS patients,²³⁻²⁶ suggesting separate eosinophilic inflammatory pathways produce CRS. IL-17A is an inflammatory mediator of sinus disease. Eosinophilia is present in WTC-exposed area residents and children with upper and lower respiratory complaints.^{19,28}

In a small cross sectional study, high blood eosinophil concentration soon after WTC exposure increased the odds of visualizing sinus polyps on CT imaging, a risk factor for sinus surgery.²⁹ This preliminary study led to the hypothesis that blood eosinophil concentration early after 9/11 may predict subsequent sinus surgery, a clearly defined disease definition with high morbidity and health care utilization.¹⁷ We therefore tested if increased blood eosinophil levels and associated inflammatory mediators, combined with WTC-exposure are risk factors for surgical-CRS in FDNY firefighters.

Methods

Study Population

This study included male firefighters who were employed by FDNY on 9/11, first arrived at the WTC-site between 9/11 and 9/24/2001, had an available eosinophil concentration from an FDNY medical monitoring exam between 9/11 and 3/10/2003, and consented to research. The Montefiore Medical Center and New York University institutional review boards approved this study.

Identification and Characterization of Patients with Chronic Rhinosinusitis

We obtained surgical-CRS occurrence and dates from multiple sources including the FDNY-WTC Health Program (FDNY-WTCHP) electronic medical record (EMR), FDNY-WTCHP claims data and the records of a WTC center of excellence otolaryngologist (MRS). When the FDNY-EMR was the only identifier of surgical-CRS, a WTC treatment physician (author MDW) reviewed the FDNY-EMR notes to confirm the date and indication for surgical-CRS.

Otolaryngologists caring for CRS patients were not provided patients' WTC-exposure specifics, job tasks, or FDNY-WTCHP's eosinophil concentrations. CT reports were reviewed by a WTC treatment physician (author DP), noting the symptoms, the presence of upper airway polyps or inflammation. CRS was defined by having sinus symptoms and sinus surgery or sinus CT and findings of inflammation or polyps.

Eosinophil, Exposure and Demographic Data

Eosinophil concentrations were obtained from cell blood counts (CBC) drawn at each WTCHP monitoring exam, scheduled at 12 to 18 month intervals, and sent to a single commercial laboratory. The first eosinophil concentration during each 18-month interval was used in the analysis. We did not use eosinophil measurements from physician encounters for symptom evaluation or treatment. Information documented during FDNY-WTCHP exams included responses from self-administered questionnaires with questions about smoking, self-reported race/ethnicity, WTC-arrival time and months of work.

A never smoker was defined as consistently denying smoking on longitudinal questionnaires. High intensity acute exposure was defined as arrival between the morning of 9/11 and the end of 9/12/2001; lower intensity acute exposure was arrival on or after 9/13/2001. High intensity chronic exposure was defined as six or more months of rescue/recovery work, intermediate intensity was two to five months and lower intensity was one month of work.

Serum Biomarker Cases and Controls

Serum was obtained from the same venipuncture as bloods drawn at first post-9/11 medical monitoring exam and was stored at -80° C. Exclusions for the control population were any physician diagnosis of sinus disease or firefighters who presented for evaluation of respiratory symptoms prior to March 2008.³⁰ To exclude chronic sinus disease, CRS diagnoses and dates were identified by searching the EMR for ICD9 codes for Chronic Sinusitis, 473.x or Chronic rhinitis or nasopharyngitis 472.0/472.2 or polyps of the nose or sinus 471.x.

Page 8 of 29

Chronic disease was defined as at least two FDNY physician visits with one of these diagnoses at least four weeks apart. Serum IL-17A and IL-6 were assayed with EMD Milipore HSTCMAG28SPMX21, IgA with HGAMMAG-301K and IgE with HGAMMAG-303E. To avoid batch bias equal proportions of cases and controls were included in each batch.

Statistical Analysis

All reported P values are two-sided. Characteristics between the cohort and the surgical-CRS group were assessed using score tests for continuous variables and log-rank tests for categorical variables. Follow-up time for CBC was calculated from the first to the last medical monitoring CBC for each study participant. Follow-up time for surgical-CRS began on 9/11 and ended on the date of surgical-CRS.

For active FDNY members without surgical-CRS, follow-up time ended on 3/10/2015. For retirees without surgical-CRS, follow-up ended on the last FDNY-WTCHP monitoring exam or treatment date. Rates for surgical-CRS included cases occurring after 9/11.

Cox proportional hazards models for multivariable analysis of rates, adjusted for race, were used to assess the effect of WTC-exposure (initial arrival time, and work duration at the site), smoking and eosinophil levels; stratification by smoking status assessed effect modification by smoking. Kaplan Meir curves for single variable assessment of eosinophils in the top quartile, initial arrival time, and work duration at the site on surgical-CRS were produced by setting all other covariates on the Cox model at their mean.

In the biomarkers sub-study, stratified multivariable linear models analyzed predictors of eosinophil concentration in surgical-CRS patients and controls. All data analyses were performed with SPSS version 22 and replicated using SAS version 9.4.

Results

Characteristics of the Study Cohort, Surgical-CRS and Medical-CRS Patients

Figure 1 shows the parent population of 10,612 FDNY male firefighters who were first present at the WTC-site between 9/11 and September 24, 2001. The final consented study cohort consisted of 8,227 firefighters, the 1,907 with objectively confirmed CRS, the 479 who were treated with surgery within 13.5 years of 9/11 (surgical-CRS) and the 1,428 who were medically managed (medical-CRS) up to March 10 2015.

Table 1 shows the demographic characteristics of all exposed firefighters who consented to research, the study cohort, those with confirmed CRS, enumerating medical-CRS and surgical-CRS subgroups, and the biomarker sub-study subjects without sinus diagnosis (controls) and surgical-CRS patients. The study cohort was similar to all exposed male firefighters:-mostly white, never-smokers and usually arrived at the WTC-site on 9/11 or 9/12/2001. Compared to the study cohort, surgical-CRS patients had higher blood eosinophil concentration, were younger, had a lower percentage of ever-smokers, arrived at the WTC-site earlier, had longer duration of work at the WTC-site and earlier diagnosis of sinus disease.

Compared to the study cohort, medical-CRS patients were similar in age, eosinophil concentration and time to diagnosis of sinus disease, but arrived at the WTC site earlier and had longer duration of work at the WTC site. The biomarker sub-study contained only never smokers; sub-study surgical-CRS patients were similar to all surgical-CRS patients.

Page 10 of 29

Risk Factors for Surgical-CRS

We utilized the longitudinal database of the FDNY-WTCHP to identify external exposures and patient intrinsic characteristics that altered sinus surgery rates when compared to the cohort.

Table 2 shows multiple-predictor Cox regression models predicting surgery adjusted for age, race, and smoking history. Increasing eosinophil concentration (100 cells/21), earlier WTC-arrival time, and longer work duration at the WTC-site were all associated with higher incidence of surgery (HR, 1.12 95% CI, 1.07 -1.17; P<0.001); (HR, 1.43 95% CI, 1.04 – 1.99; P=0.03); and (HR, 1.48, 95% CI, 1.14 to 1.93; P<0.01, respectively). To better understand the impact of smoking we tested for interaction between smoking and other risk factors and found none.

We then stratified by smoking status and found that increasing eosinophil concentration remained a significant risk factor for surgical-CRS in both never-smokers and ever-smokers (HR 1.12 p<0.001 and 1.13 p=0.001). Early arrival remained significant only in ever-smokers (HR 2.18 1.11-4.29 p=0.023 vs 1.22 0.84-1.77 p=0.29). Further, ever-smokers had higher eosinophils in than never-smokers (213±139 vs. 194±133 p=0.003 for CRS and 240±166 vs. 209±148 p=0.046 for surgical-CRS). Since smoking confounds the association between surgical-CRS hazard and eosinophils, subsequent models excluded ever smokers to eliminate this confounding.

We performed a biomarker sub-study of 488 individuals with serum drawn within 6 months post-WTC exposure comparing risk factors for sinus surgery in 112 surgery patients and 376 controls using IgE concentration as a biomarker for atopic disease. The control group excluded upper and lower respiratory diagnosis that could be associated with atopy. While eosinophil concentration and age remained significant risk factors for surgical-CRS, IgE was not associated with surgical-CRS (HR. 1.01 95% CI 0.99-1.03 p=0.23). Consistent with our prior study with different cases and controls²⁹, IL-6 reduced hazard of surgical-CRS (HR 0.84 95% CI 0.72.- 0.97 p=0.021).

With the cohort as the reference, we used Kaplan Meier analysis to illustrate the effect of risk factors on surgical-CRS. As opposed to the Cox models that used eosinophils as a continuous variable, the Kaplan Meier analysis used the top quartile of eosinophils (240 cells/21) in the cohort to demonstrate the effect of higher eosinophils.

Figure 2 shows cumulative incidence plots for surgical-CRS as a function of blood eosinophil concentration, WTC-arrival time, and WTC-site work duration. Higher levels of eosinophils were associated with higher rates of surgical-CRS(P<0.001), as were earlier arrival times (P<0.01) and WTC-site work duration (P<0.001).

Predictors of Eosinophil Concentration

Biological differences between the surgical-CRS patients and the study cohort could explain the impact of eosinophil concentration on surgery rates. To gain insight into immunological pathways associated with elevated eosinophil concentration in surgical-CRS patients we performed multi-variable linear regression with eosinophil concentration 1.6 to 6.3 months post 9/11 as the outcome and inflammatory biomarkers measured in serum from the same venipuncture as the eosinophil concentration as the predictor.

Table 3 shows two multi-variable linear regressions with post 9/11 eosinophil concentration as the outcome. IL-17A is strongly correlated with eosinophil concentration in surgical-CRS patients but not in controls (standardized beta 0.428, p<0.0001 vs. standardized

beta -0.06 p=0.23). Similarly, age and low serum IgA positively correlate with eosinophil concentration only in surgical-CRS patients. Alternately, surgical-CRS patients had a trend for higher eosinophil concentration soon after exposure, with decline over time (28.6±16.7 cells per month decline p=0.093).

In the control group, there was no impact of time post exposure on eosinophil concentration (5±5 cells per month increase p=0.32). Age, IL-17A, low IgA and time post exposure explained 26% of the observed variance in surgical-CRS patients' eosinophil concentration (R^2 =0.26 p<0.0001). These four variables had no association with eosinophils in controls (R^2 =0.008, p=0.56).

Longitudinal Eosinophil Concentration in the Study Cohort and Surgical-CRS

Examination of eosinophil concentration and biomarkers obtained soon after WTC exposure suggests biological differences between surgical-CRS patients and other subgroups in the study cohort. The FDNY-WTCHP has pre-exposure data as well as compressive longitudinal data on eosinophil concentration. We therefore explored if differences in surgical-CRS patients' concentration existed before exposure or extended beyond the initial insult.

Figure 3 shows median eosinophil concentrations from 3/10/2000 to 3/10/2015 representing 97,733 person years of follow up, in 18-month intervals. The surgical-CRS patients had higher eosinophil than the study cohort at every time interval including pre-9/11. Analyzing results from a subset of 2,444 who had bloods drawn 18 months pre-9/11, first 18 months post-9/11 and during the 36 months between 3/11/2003 and 3/10/2006, we found that the pre-9/11 eosinophils were 126 cells/µl (IQR 78-198), increased to 160 cells/µl (IQR 108-244) in the first 18 months post-9/11, and then declined to 144 cells/ μ l (IQR 90-216) in the following 36 post-9/11 months (P<0.001 for both comparisons).

In the 158 surgery patients with values in all time intervals, the pre-9/11 eosinophils were 149 cells/ μ l (IQR 84-238), increased to 179 cells/ μ l (IQR 120-251) in the first 18 months post-9/11 and then declined to 162(IQR 96-243) cells/ μ l in the following 36 months post-9/11 (P<0.001 and P=0.001, respectively).

Discussion

We present 13.5-years of post-9/11 longitudinal follow-up for 8,227 firefighters who sustained intense, caustic dust exposure from the WTC towers collapse and participated in subsequent rescue/recovery operations. Those who proceeded to sinus surgery had elevated blood eosinophil concentration prior to exposure. Further, only those who underwent sinus surgery had an association between IL-17A or low IgA and eosinophil concentration after exposure.

These data are consistent with immunological differences between those who proceed to sinus surgery and the rest of the cohort that are evident years before disease presentation. Since both acute and chronic exposure to the WTC-site were significant risk factors for surgical-CRS, there is a need for usable and effective respiratory protection during long-term rescue or recovery work at future disasters. As this is often difficult to achieve, blood eosinophils obtained before or immediately post-exposure could identify those who might benefit the most from early monitoring and targeted treatment. Blood eosinophil concentration is a widely

Page 14 of 29

available, well-studied biomarker of upper and lower respiratory disease that is inexpensive, externally valid and currently collected in many longitudinal cohorts.^{18,27,28}

Those firefighters who would underwent sinus surgery had higher blood eosinophil levels than the study cohort throughout the study period, including pre-9/11 suggesting that an elevated eosinophil set point is intrinsic to this vulnerable group. Surprisingly, those with medical-CRS had eosinophil concentration similar to the cohort and significantly below the surgical-CRS patients.

Eosinophil blood levels increased after 9/11. The acute increase likely reflects the systemic response to acute innate inflammation. However, blood eosinophil concentration declined after the first post exposure 18 month interval and trended to the pre-exposure equilibrium after several years.

Exposed firefighters with elevated blood eosinophil concentrations had an 8.4% cumulative incidence of sinus surgery over 13.5 years compared with 5.9% for those with eosinophil levels in the bottom three quarters of the distribution, This resulted in a 45% increased risk of surgical-CRS in the multi-variable Cox regression model using data from the whole study cohort. Only 20/479 (4%) of the surgical-CRS cases had eosinophil concentrations above 500 cell/uL in the first 18 months after exposure, indicating that a vast majority of those who proceeded to non-resolving upper airway inflammation had normal early eosinophil concentrations.

The biomarkers component of this investigation did not identify an association between IgE and surgical-CRS. This is different from FDNY exposed firefighters with lower airways diagnosis where IgE is a significant risk factor ³¹. The biomarker data suggests atopy was not a large contributor to surgical-CRS in this cohort.

Consistent with our prior observations and recent reports, increasing IL-6 reduced surgical-CRS hazard.^{29,32} Importantly, increasing IL-17A and age along with low IgA concentration were associated with eosinophils only in surgical-CRS patients but not controls. IL-17A is expressed by excised nasal polyp tissue and correlate with tissue eosinophil.^{24,33,34} Low IgA concentration is a known risk factor for sinus disease.³⁵ Further research is needed to define the underlying mechanism of the differences in mucosal immunity between the surgical-CRS patients and those who do not proceed to surgery.

Increasing acute and chronic particulate exposure are strong risk factors for surgical-CRS, demonstrating a link between surgical-CRS and work at the WTC site. The upper airway protects the lungs by adsorbing "large" particles from inhaled air. This filtering role renders the upper airway vulnerable to caustic dust exposure.

Compared with arrival at the WTC site on or after 9/13, those who arrived 9/11 or 9/12 had a 45% increased risk of surgical-CRS. This results in a 6.6% cumulative incidence of surgical-CRS over 13.5 years in the early arrival group compared with 4.6% in the late arrival group. Compared with working at the site for one month, those who engaged in WTC-rescue or recovery work for 6 or more months had a 48% increased risk of surgical-CRS. This results in an 8.7% cumulative incidence of surgical-CRS over 13.5 years in the prolonged exposure group compared to 4.9% in the briefer exposure group.

The combined effect of early acute injury followed by chronic persistent exposure predisposes these workers to non-resolving inflammation and surgical-CRS years later. The impact of early arrival is most pronounced in smokers, a subgroup with pre-existing upper

Page 16 of 29

airway inflammation.

Limitations

There are several limitations to this study. FDNY firefighters may not be representative of the larger population of non-FDNY WTC-exposed individuals. First, the FDNY study cohort is overwhelmingly male, previously healthy and experienced a massive particulate exposure. This analysis identified risk factors for the most common pathway(s) to surgical-CRS and likely missed risk factors for less common pathways to disease in this population.

It is likely that atopy is an under-represented pathway to sinus disease in this population. Asthma precludes work as a firefighter and most of the WTC-exposed patients had irritant-induced symptoms with no biomarker evidence of atopy within 18 months of exposure and little clinical evidence of an allergic component at presentation. Low levels of atopy are especially likely in the biomarker control population that excluded both upper and lower airway diseases to increase the likelihood of identifying atopy in the surgical-CRS patients. Never the less, a wide-range of findings in the FDNY cohort has been replicated in other WTC-exposed cohorts.

Second, this study was designed to assess early predictors of surgical-CRS risk, so subsequent events such as repeated irritant exposures or treatment prior to surgery were not studied. Third, the data represent risk factors obtained prior to disease reducing the potential for "reverse causation," but none of the eosinophil or other biomarker data implies a causal relationship to disease. The longitudinal eosinophil analysis and biomarker data suggest surgical-CRS patients are biologically different in one or more of the innumerable pathways impacting blood eosinophil concentration when compared to the cohort or biomarker controls. Association of increased IL-17A and reduced IgA levels with eosinophils could be a result of the early immune response in those predisposed to non-resolving inflammation or could cause non-resolving inflammation resulting in surgical-CRS.

In spite of these limitations, the FDNY-WTCHP is a valuable resource for understanding irritant-induced diseases like CRS in the occupation setting where there is little available data.^{39,40}

Conclusions and Targets for Future Research

Acute and chronic WTC exposure were risks for non-resolving upper airway inflammation with recurrent CRS responding poorly to medical management that ultimately was treated with surgery years later. Increasing eosinophils served as a biomarker for a population that had increased vulnerability to upper airway injury after WTC exposure. The finding that increasing eosinophils was associated with increased rates of surgical-CRS supports earlier observations linking eosinophilia with sinusitis in WTC-exposed children.¹⁸ Finally, surgical-CRS patients are likely predisposed to exaggerated inflammation and/or poor counter-regulatory responses to inflammation.²³⁻²⁶

In future disasters, improved respiratory protection during the rescue/recovery phase may be effective in reducing the hazard of difficult-to-treat CRS. Pathway(s) to non-resolving inflammation are induced and/or sustained by repeated irritant exposures over months. Targeting these inflammatory pathway(s) for intervention early in the disease evolution may yield more effective therapies. Further research is required to identify additional biomarkers of disease associated with above-average blood eosinophil concentration and develop more effective treatments for CRS patients with persistent symptoms, despite currently available medical management.

References

- 1. Bhattacharyya N. Contemporary assessment of the disease burden of sinusitis. American journal of rhinology & allergy 2009;23:392-5.
- 2. Bhattacharyya N. Ambulatory sinus and nasal surgery in the United States: demographics and perioperative outcomes. The Laryngoscope 2010;120:635-8.
- 3. Pleis JR, Lucas JW, Ward BW. Summary health statistics for U.S. adults: National Health Interview Survey, 2008. Vital and health statistics Series 10, Data from the National Health Survey 2009:1-157.
- 4. Tan BK, Chandra RK, Pollak J, et al. Incidence and associated premorbid diagnoses of patients with chronic rhinosinusitis. The Journal of allergy and clinical immunology 2013;131:1350-60.
- 5. Fokkens WJ, Lund VJ, Mullol J, et al. EPOS 2012: European position paper on rhinosinusitis and nasal polyps 2012. A summary for otorhinolaryngologists. Rhinology 2012;50:1-12.
- 6. Wang PC, Lin HC, Kang JH. Chronic rhinosinusitis confers an increased risk of acute myocardial infarction. American journal of rhinology & allergy 2013;27:e178-82.
- 7. Kang JH, Wu CS, Keller JJ, Lin HC. Chronic rhinosinusitis increased the risk of stroke: a 5-year follow-up study. The Laryngoscope 2013;123:835-40.
- 8. Keller JJ, Wu CS, Lin HC. Chronic rhinosinusitis increased the risk of chronic periodontitis: a population-based matched-cohort study. The Laryngoscope 2013;123:1323-7.
- 9. Chung SD, Lin CC, Ho JD, Ting J, Lin HC, Hu CC. Increased risk of open-angle glaucoma following chronic rhinosinusitis: a population-based matched-cohort study. Eye 2014;28:225-30.
- 10. O'Malley CD, Tran N, Zapalowski C, Daizadeh N, Olenginski TP, Cauley JA. Multimorbidity in women with and without osteoporosis: results from a large US retrospective cohort study 2004-2009. Osteoporosis international : a journal established as result of cooperation between the European Foundation for Osteoporosis and the National Osteoporosis Foundation of the USA 2014;25:2117-30.
- 11. Jarvis D, Newson R, Lotvall J, et al. Asthma in adults and its association with chronic rhinosinusitis: the GA2LEN survey in Europe. Allergy 2012;67:91-8.
- 12. Kim YS, Kim NH, Seong SY, Kim KR, Lee GB, Kim KS. Prevalence and risk factors of chronic rhinosinusitis in Korea. American journal of rhinology & allergy 2011;25:117-21.
- 13. Tan BK, Kern RC, Schleimer RP, Schwartz BS. Chronic rhinosinusitis: the unrecognized epidemic. American journal of respiratory and critical care medicine 2013;188:1275-7.
- 14. Kunzli N, Kaiser R, Medina S, et al. Public-health impact of outdoor and traffic-related air pollution: a European assessment. Lancet 2000;356:795-801.

- 15. Nachman KE, Parker JD. Exposures to fine particulate air pollution and respiratory outcomes in adults using two national datasets: a cross-sectional study. Environmental health : a global access science source 2012;11:25.
- 16. Prezant DJ, Weiden M, Banauch GI, et al. Cough and bronchial responsiveness in firefighters at the World Trade Center site. The New England journal of medicine 2002;347:806-15.
- 17. Niles JK, Webber MP, Liu X, et al. The upper respiratory pyramid: early factors and later treatment utilization in World Trade Center exposed firefighters. American journal of industrial medicine 2014;57:857-65.
- Lin S, Reibman J, Bowers JA, et al. Upper respiratory symptoms and other health effects among residents living near the World Trade Center site after September 11, 2001. American journal of epidemiology 2005;162:499-507.
- 19. Trasande L, Fiorino EK, Attina T, et al. Associations of World Trade Center exposures with pulmonary and cardiometabolic outcomes among children seeking care for health concerns. The Science of the total environment 2013;444:320-6.
- 20. Weakley J, Webber MP, Gustave J, et al. Trends in respiratory diagnoses and symptoms of firefighters exposed to the World Trade Center disaster: 2005-2010. Preventive medicine 2011;53:364-9.
- 21. Wisnivesky JP, Teitelbaum SL, Todd AC, et al. Persistence of multiple illnesses in World Trade Center rescue and recovery workers: a cohort study. Lancet 2011;378:888-97.
- 22. Akdis CA, Bachert C, Cingi C, et al. Endotypes and phenotypes of chronic rhinosinusitis: a PRACTALL document of the European Academy of Allergy and Clinical Immunology and the American Academy of Allergy, Asthma & Immunology. The Journal of allergy and clinical immunology 2013;131:1479-90.
- 23. Shaw JL, Fakhri S, Citardi MJ, et al. IL-33-responsive innate lymphoid cells are an important source of IL-13 in chronic rhinosinusitis with nasal polyps. American journal of respiratory and critical care medicine 2013;188:432-9.
- 24. Shi LL, Song J, Xiong P, et al. Disease-specific T-helper cell polarizing function of lesional dendritic cells in different types of chronic rhinosinusitis with nasal polyps. American journal of respiratory and critical care medicine 2014;190:628-38.
- 25. Zhang XH, Zhang YN, Li HB, et al. Overexpression of miR-125b, a novel regulator of innate immunity, in eosinophilic chronic rhinosinusitis with nasal polyps. American journal of respiratory and critical care medicine 2012;185:140-51.
- 26. Stevens WW, Ocampo CJ, Berdnikovs S, et al. Cytokines in Chronic Rhinosinusitis: Role in Eosinophilia and Aspirin Exacerbated Respiratory Disease. American journal of respiratory and critical care medicine 2015.
- 27. Malinovschi A, Fonseca JA, Jacinto T, Alving K, Janson C. Exhaled nitric oxide levels and blood eosinophil counts independently associate with wheeze and asthma events in National Health and Nutrition Examination Survey subjects. The Journal of allergy and clinical immunology 2013;132:821-7 e1-5.

- 28. Kazeros A, Maa MT, Patrawalla P, et al. Elevated peripheral eosinophils are associated with new-onset and persistent wheeze and airflow obstruction in world trade center-exposed individuals. The Journal of asthma : official journal of the Association for the Care of Asthma 2013;50:25-32.
- 29. Cho SJ, Echevarria GC, Kwon S, et al. One airway: Biomarkers of protection from upper and lower airway injury after World Trade Center exposure. Respiratory medicine 2014;108:162-70.
- 30. Weiden MD, Ferrier N, Nolan A, et al. Obstructive airways disease with air trapping among firefighters exposed to World Trade Center dust. Chest 2010;137:566-74.
- 31. Cho SJ, Nolan A, Echevarria GC, et al. Chitotriosidase is a biomarker for the resistance to World Trade Center lung injury in New York City firefighters. Journal of clinical immunology 2013;33:1134-42.
- 32. Cho SH, Kim DW, Lee SH, et al. Age-related increased prevalence of asthma and nasal polyps in chronic rhinosinusitis and its association with altered IL-6 trans-signaling. American journal of respiratory cell and molecular biology 2015;53:601-6.
- 33. Makihara S, Okano M, Fujiwara T, et al. Regulation and characterization of IL-17A expression in patients with chronic rhinosinusitis and its relationship with eosinophilic inflammation. The Journal of allergy and clinical immunology 2010;126:397-400, .e1-11.
- 34. Saitoh T, Kusunoki T, Yao T, et al. Role of interleukin-17A in the eosinophil accumulation and mucosal remodeling in chronic rhinosinusitis with nasal polyps associated with asthma. International archives of allergy and immunology 2010;151:8-16.
- 35. Vanlerberghe L, Joniau S, Jorissen M. The prevalence of humoral immunodeficiency in refractory rhinosinusitis: a retrospective analysis. B-ent 2006;2:161-6.
- 36. Mills NL, Tornqvist H, Gonzalez MC, et al. Ischemic and thrombotic effects of dilute dieselexhaust inhalation in men with coronary heart disease. The New England journal of medicine 2007;357:1075-82.
- 37. Zanobetti A, Schwartz J. The effect of fine and coarse particulate air pollution on mortality: a national analysis. Environmental health perspectives 2009;117:898-903.
- 38. Gan WQ, Koehoorn M, Davies HW, Demers PA, Tamburic L, Brauer M. Long-term exposure to traffic-related air pollution and the risk of coronary heart disease hospitalization and mortality. Environmental health perspectives 2011;119:501-7.
- 39. Sundaresan AS, Hirsch AG, Storm M, Tan BK, Kennedy TL, Greene JS, Kern RC, Schwartz BS Occupational and environmental risk factors for chronic rhinosinusitis: a systematic review. Int Forum Allergy Rhinol. 2015;5:996-1003.
- 40. Min JY, Tan BK. Risk factors for chronic rhinosinusitis. Curr Opin Allergy Clin Immunol. 2015;15:1-13.

Page 22 of 29

Figure Legends

Figure 1. Study Population of Firefighters Who Participated in the World Trade Center (WTC) Study. Shown are the number of male firefighters who were employed by the Fire Department of New York City (FDNY) on September 11, 2001 who were present at the WTC between September 11 and September 24, 2001; are included in the study group; and the number who had physician diagnosed chronic rhinosinusitis; and, the number who underwent sinus surgery from September 11, 2001 to March 10, 2015.

Figure 2. Cumulative Incidence of surgical-CRS from September 11, 2001 to March 10 2015 in Firefighters Who Worked at the World Trade Center Site during the First 2 Weeks after 9/11 by: Level of blood eosinophil concentration (Panel A), WTC-site arrival time (Panel B) and WTC-site work duration (Panel C). Panel A shows the cumulative incidence of surgical-CRS after the cohort is stratified by blood eosinophil concentration above and below 240 cells/µl, the top quartile in the 18 months post-9/11. Panel B shows the cumulative incidence of surgical-CRS after the cohort is stratified by initial WTC- site arrival time, either within the first two days of 9/11 or after 9/12/2001. Panel C shows the cumulative incidence of surgical-CRS after the cohort is stratified by months of WTC-rescue/recovery work, 1 month, 2-5 months and 6 or more months.

Figure 3. Blood Eosinophil concentration in Firefighters Who Worked at the World Trade Center Site during the First 2 Weeks after 9/11 According to Surgical-CRS Status. Shown are the median eosinophil concentrations from March 10, 2000 to March 10, 2015, in 18-month intervals. The blue dots show data for Fire Department of New York City Firefighters who worked at the World Trade Center site during the first 2 weeks after the attack of September 11, 2001 (9/11) and who had eosinophil measurement between September 11, 2001 and March 10, 2003. The red triangles show data from the group who had surgical-CRS by the end of the study. The number of measurements contributing to the values in each interval are shown below each data point. The top row of values show in red represents the number of eosinophil measurements in the surgical-CRS group. The bottom row of values show in blue represents the number of eosinophil measurements in the study cohort.

	WTC Exposed	Study Cabout	CDC	Madiaal CDC	Curreis al CDC	Biomarker sub-study		
	Firefighters	Study Cohort	CRS	Medical CRS	Surgical CRS	Controls	CRS-surgery	
	N=10,426	N=8,227	N=1,907	N=1,428	N=479	N=376	N=112	
Age on 9/11, Mean±SD	40.3±7.4	40.0±7.4*	38.9±6.6	39.1±6.7 °	38.3±6.2	40.5±8.0	39.1±5.5	
Race, N (%)								
Caucasian 9,788 (93.9		7,721 (93.9)	1,816 (95.2)	1,355 (94.9)	461 (96.2)	351 (93.4)	107 (95.5)	
African American	264 (2.5)	204 (2.5)	204 (2.5) 26 (1.4)		4 (0.8)	8 (2.1)	0	
Hispanic	344 (3.3)	279 (3.4)	59 (3.1)	46 (3.2)	13 (2.7)	16 (4.3)	5 (4.5)	
Other	30 (0.3)	23 (0.3)	6 (0.3)	5 (0.4)	1 (0.2)	1 (0.3)	0	
Ever smokers, N (%)	3,932 (37.7)	3,028 (36.8) [¶]	635 (33.3)	488 (34.2)	147 (30.7)	0	0	
WTC arrival time, N (%))			·			·	
9/11-9/12	9,137 (87.6)	7,190 (87.4)	1,742 (91.4)	1,303 (91.3)	439 (91.6)	348 (92.5)	104 (92.9)	
After 9/12	1,289 (12.4)	1,037 (12.6) [¶]	165 (8.6)	125 (8.7)	40 (8.4)	28 (7.5)	8 (7.1)	
WTC work duration, N	(%) [¶]			·			·	
1 month 2,963 (28.4		2,407 (29.3)	431 (22.6)	332 (23.3)	99 (20.7)	129 (34.3)	31 (27.7)	
2-5 months	2-5 months 4,939 (47.4)		887 (46.5)	664 (46.5)	223 (46.6)	149 (39.6)	44 (39.3)	
≥6 months	2,524 (24.2)	1,960 (23.8) [¶]	589 (30.9)	432 (30.2)	157 (32.8)	98 (26.1)	37 (33.0)	
Eosinophil /mL 18 mo post-9/11			200±135	194±128 [©]	219±155 174±108		218±159	
Yrs to 1 st sinus diagnosis	7.6±3.6	7.6±3.6	7.8±3.6	8.1±3.6 [©]	6.7±3.3	N/A	6.9±3.4	
Yrs to CRS surgery	8.7±2.8	8.7±2.9	8.7±2.9	N/A	8.7±2.9	N/A	8.7±2.9	

Table 2: Cox propo	ortional ha	zards predic	ting CRS su	urgery								
	E.III	Cohort (N-9	172)	Smoking Stratified Model					Biomarker Sub-Study (N=488)			
	Full Cohort (N=8,227)			Never Smoker (N=5,199)			Ever Smoker (N=3,028)			Biomarker Sub-Sludy (11–400)		
	HR	95% CI	р	HR	95% CI	р	HR	95% CI	р	HR	95% CI	р
Increasing Eos per 100 cell/µl	1.12	1.07-1.17	<0.001	1.12	1.06-1.19	<0.001	1.13	1.05-1.22	0.001	1.23	1.08-1.41	0.003
Early WTC Site Arrival	1.43	1.04-1.99	0.03	1.22	0.84-1.77	0.29	2.18	1.11-4.29	0.023	0.88	0.42-1.82	0.73
WTC Site Work Dura	WTC Site Work Duration											
1 Month	Ref			Ref			Ref			Ref		
2-5 Months	1.09	0.85-1.40	0.49	0.94	0.70-1.27	0.69	1.49	0.94-2.36	0.088	0.89	0.53-1.48	0.65
≥ 6 Months	1.48	1.14-1.93	0.003	1.42	1.05-1.94	0.024	1.58	0.95-2.61	0.077	1.18	0.70-1.98	0.54
Age (years)	0.98	0.97-0.99	0.003	0.99	0.97-1.00	0.12	0.97	0.95-0.99	0.007	0.98	0.95-1.01	0.16
Ever Smoker	0.80	0.65-0.97	0.022									
Increasing IL-6 pg/mL										0.84	0.72-0.97	0.02
Increasing IgE ng/mL										1.01	0.99-1.03	0.23

Table 3: Linea	r models predic	ting eosinophil	s cells/μL in po	st-9/11 blood ^ಱ					
		CRS Surger	y (N=112) [§]		No sinus disease (N=376) *				
	В	Std Err	Std B	р	В	Std Err	Std B	р	
Age (years)	6.67	2.42	0.23	0.007	-0.18	0.71	-0.01	0.80	
IL-17A pg/mL	1.15	0.22	0.43	<0.001 [¶]	-0.27	0.23	-0.06	0.23	
lgA ≤ 300 ng/mL	-108.45	40.28	-0.23	0.008	3.85	16.28	0.01	0.81	
Months to exam	-28.60	16.72	-0.15	0.09	5.42	5.48	0.05	0.32	
^ℋ From 1.5 to	6 months post 9	9/11, [§] Model R	² =0.26 p<0.000)1, [¶] p after Bonf	erroni correcti	on, *Model R ²	=0.008, p= 0.56		

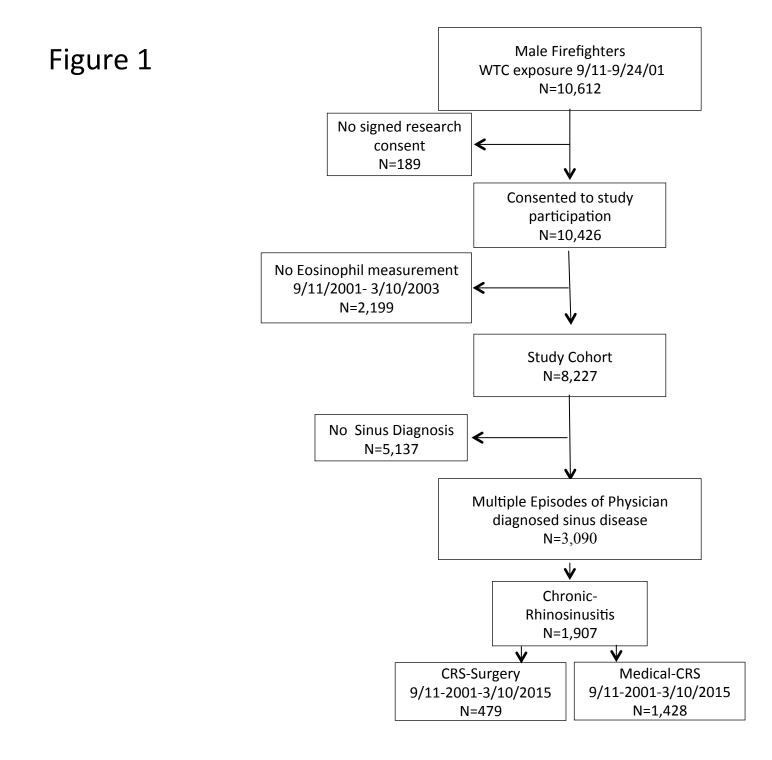


Figure 2

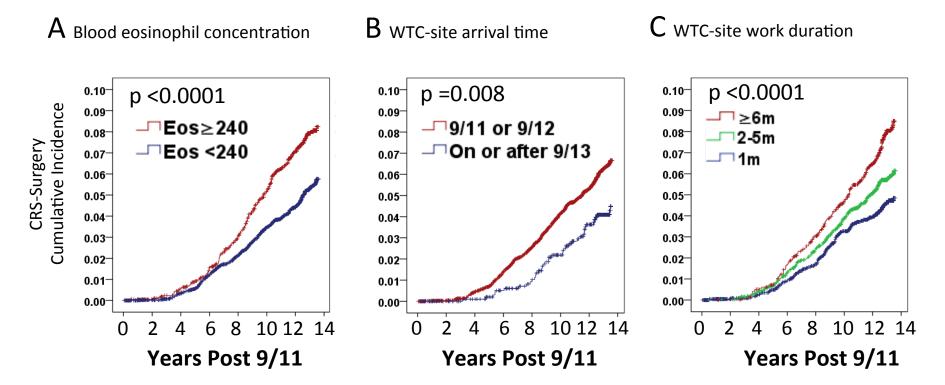


Figure 3

