

**Blood eosinophils and chronic obstructive pulmonary  
disease: a GOLD Science Committee 2022 review**

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## **Blood eosinophils and chronic obstructive pulmonary disease: a GOLD Science Committee 2022 review**

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## 27 **Abstract**

28 COPD is a heterogeneous condition. Some patients benefit from treatment with inhaled  
29 corticosteroids (ICS) but this requires a precision medicine approach, based on clinical  
30 characteristics (phenotyping) and biological information (endotyping) in order to select  
31 patients most likely to benefit. The GOLD 2019 report recommended using exacerbation  
32 history combined with blood eosinophil counts (BEC) to identify such patients. Importantly,  
33 the relationship between BEC and ICS effects is continuous; no / small effects are observed at  
34 lower BEC, with increasing effects at higher BEC.

35 The GOLD 2022 report has added additional evidence and recommendations concerning the  
36 use of BEC in COPD in clinical practice. Notably, associations have been demonstrated in  
37 COPD patients between higher BEC and increased levels of type-2 inflammation in the lungs.  
38 These differences in type-2 inflammation can explain the differential ICS response according  
39 to BEC. Additionally, lower BEC are associated with greater presence of proteobacteria,  
40 notably haemophilus, and increased bacterial infections and pneumonia risk. These  
41 observations support management strategies that use BEC to help identify subgroups with  
42 increased ICS response (higher BEC) or increased risk of bacterial infection (lower BEC).  
43 Recent studies in younger individuals without COPD have also shown that higher BEC are  
44 associated with increased risk of FEV<sub>1</sub> decline and the development of COPD.

45 Here we discuss and summarise the GOLD 2022 recommendations concerning the use of BEC  
46 as a biomarker that can facilitate a personalised management approach in COPD.

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## 52 **Introduction**

53 The Global Initiative for Chronic Obstructive Lung Disease (GOLD) published its first report  
54 for the diagnosis and management of chronic obstructive pulmonary disease (COPD) in 2001  
55 (1). Since then, GOLD has updated it yearly (2), the last time in 2022 ([www.goldcopd.org](http://www.goldcopd.org)). To  
56 do so, GOLD evaluates critically the new evidence since the previous publication and decides  
57 whether it merits (or not) inclusion in the most recent update. GOLD publishes specific  
58 recommendations and, sometimes, the main arguments behind them, but it often lacks space  
59 for a detailed discussion regarding the pros and cons behind each recommendation. To address  
60 this limitation, the Scientific Committee of GOLD decided to publish, separately from the main  
61 annual update, a series of papers that review and discuss topics of particular, current interest  
62 for clinical practice.

63 The GOLD 2019 report recommended using blood eosinophil counts (BEC) as part of a  
64 precision medicine strategy to identify the most suitable patients for inhaled corticosteroid  
65 (ICS) treatment(3). Recent publications have provided further evidence and insights  
66 concerning BEC in COPD. Here, we discuss the role of BEC as a COPD biomarker, focusing  
67 on new advances and summarising the associated changes in the GOLD 2022 Report (shown  
68 in Table 1).

69

## 70 **A brief overview of eosinophil biology**

71 Eosinophils originate from bone marrow stem cells, in response to stimulation by granulocyte-  
72 monocyte colony stimulating factor (GM-CSF), interleukin (IL)-3 and IL-5 (4). The  
73 subsequent proliferation, activation, tissue infiltration and survival of eosinophils is controlled  
74 by type 2 (T2) mediators, such as IL-4, IL-5, IL-13 and eotaxins. Eosinophil degranulation  
75 releases major basic proteins, eosinophil cationic protein, eosinophil peroxidase and

76 eosinophil-derived neurotoxin, which provide host defence against parasitic infection (5).  
77 These proteins also promote bacterial and viral clearance, although the extent of these roles in  
78 humans, as opposed to animal models, is unclear (4, 5). Eosinophil derived granule proteins  
79 can cause tissue injury and remodelling, while eosinophil peroxidase drives changes in the  
80 physicochemical properties of mucus that underlie airway mucus plugging (4, 6). There is also  
81 evidence that eosinophil subsets exist, with tissue resident cells having a predominantly  
82 homeostatic role while inflammatory eosinophils are recruited into the lungs(7). Asthma and  
83 systemic hyper-eosinophilic diseases are examples where increased systemic and lung  
84 eosinophil numbers, coupled with activation, contribute to disease pathophysiology (4).

85

#### 86 **BEC as a predictor of inhaled corticosteroid benefit**

87 COPD is a heterogeneous condition, exemplified by the between individual variation in the  
88 nature and severity of airway inflammation (3, 8-10). The use of anti-inflammatory treatments  
89 therefore requires a selective approach, based on clinical characteristics (phenotyping) and  
90 biological information (endotyping) in order to target therapies to subgroups of individuals  
91 who are most likely to derive benefit (3, 9, 11). ICS are anti-inflammatory drugs that are used  
92 in combination with one or two long-acting bronchodilators (LABD) for the treatment of  
93 COPD. Randomized control trials (RCTs) have shown that ICS reduce exacerbation rates,  
94 improve quality of life and prevent mortality when targeted to COPD patients with a history of  
95 exacerbations (3, 12, 13). Pre-specified and post-hoc analysis of these RCTs have shown that  
96 higher BEC, used as a surrogate for lung eosinophil counts(14), at the study start are associated  
97 with greater clinical benefits, notably exacerbation prevention, from ICS treatment (3, 14-17).  
98 The relationship between BEC and ICS benefits has been described as continuous, as these  
99 analysis have demonstrated treatment effects at above (approximately) 100 cells /  $\mu\text{L}$  with  
100 incremental increases in the magnitude of effect at higher BEC(3, 14). Importantly, there is no

101 clear evidence that ICS treatment reduces BEC, so BEC retain their predictive value  
102 independent of ICS treatment. Accordingly, in 2019 GOLD recommended the use of BEC in  
103 clinical practice, in COPD patients with an exacerbation history despite appropriate use of  
104 LABD, to identify the most suitable patients for ICS treatment (3). The BEC thresholds  $< 100$   
105 cells /  $\mu\text{L}$  and  $\geq 300$  cells /  $\mu\text{L}$  have been proposed, identifying individuals with the lowest and  
106 greatest likelihood (respectively) of benefit from ICS treatment when administered on top of  
107 LABD. These are estimated, not strict, thresholds. Patients with low BEC appear to be at  
108 increased risk of pneumonia(18, 19) (discussed in depth later), while there is also a small  
109 increase in pneumonia risk with ICS use in COPD patients(12, 13, 15).

110 RCTs of inhaled triple therapies have been analysed according to whether patients had 1 or  $\geq 2$   
111 exacerbations in the previous year(20, 21). A history of  $\geq 2$  exacerbations was associated with  
112 more exacerbations during the study compared to 1 previous exacerbation. The benefit of ICS  
113 on exacerbation prevention was greater in individuals with more events (i.e. those with a  
114 history of  $\geq 2$  exacerbations), but there was still a benefit in patients with one previous  
115 exacerbation and BEC were able to predict ICS benefits regardless of exacerbation history.

116 **Conclusion:** The GOLD 2019 report recommended the use of clinical phenotyping  
117 (exacerbation history) combined with endotyping (using BEC as a biomarker) to enable ICS to  
118 be used with more precision, selecting individuals with a greater benefit (reduction in  
119 exacerbations) versus risk profile (pneumonia occurrence), hence increasing the net benefit  
120 potential of ICS (3). RCT results published since 2019 remain supportive of BEC as a  
121 predictive biomarker of ICS effects in COPD patients with increased exacerbation risk (15).

## 122 **Variability of BEC**

123 The intra-class correlation coefficient (ICC) for repeated BEC measurements performed on  
124 different days in COPD patients have ranged from 0.64 to 0.89, indicating good to excellent

125 reproducibility(14). It has been commented that similar ICC values have been reported for  
126 cholesterol and glycated Hb which are routinely used biomarkers in clinical practice(3, 14).  
127 BEC show diurnal variation in healthy subjects and patients with asthma and COPD, peaking  
128 in the early morning, and thought to be related to circadian variation in cortisol secretion(22,  
129 23). The median reduction in BEC at 12.00 compared to 08.00 in COPD patients was reported  
130 to be 36%(23).

131 GOLD has suggested BEC thresholds to help direct ICS treatment(3). Movement across a  
132 threshold after repeated measurement is more likely for BEC that are closer to the  
133 threshold(24). This is one reason why GOLD states that these are not strict thresholds, and  
134 consequently small within or between day variations should not result in a change in clinical  
135 management. In support, it has been reported that the predictive ability of BEC, with regard to  
136 ICS benefits observed in a triple therapy RCT, were similar regardless of whether the BEC at  
137 screening or randomisation was used, or the average of both(25).

138

### 139 **BEC in COPD patients versus controls**

140 A study in individuals aged >40 years showed that on average eosinophil counts were higher  
141 in COPD patients (n=209) than in controls (n=127) (26). Although there was considerable  
142 overlap in the counts between the groups, some COPD patients had higher counts than the  
143 controls. A recent cohort study has also shown that BEC are higher in COPD patients (n=326)  
144 versus controls (n=399)(27). In contrast, other studies have not shown differences between  
145 COPD patients and controls (28), as the CANCOLD study showed a similar distribution of  
146 BEC between the non-COPD (n=573) and COPD participants (n=547)(29), while the CHAIN  
147 cohort also showed a similar BEC distribution between non-COPD (n=121) and COPD  
148 (n=769) participants(30).

149

150 A large general population study in Austria (n=11,042) using multivariate logistic regression  
151 showed that a higher BEC (>210 cells/ $\mu$ L; the 75<sup>th</sup> percentile) was more likely in current  
152 smokers (odds ratio (OR) 1.72, 95% confidence interval (CI)1.52–1.96) and COPD (OR 1.56,  
153 CI 1.20–2.03), but the range in patients with COPD was not specified (31). In Japanese patients  
154 with COPD (n=848), the median (interquartile range) BEC was 170 cells/ $\mu$ L (100–280  
155 cells/ $\mu$ L) with a similar distribution to that in non-Japanese patients with COPD (n=5397), but  
156 the counts were not compared to healthy controls(32). Another large general population study,  
157 conducted in Japan (approximately 10,000 participants), showed a similar BEC distribution in  
158 a healthy population to that seen in the European study, but BEC in patients with COPD were  
159 not reported (33).

160

161 A meta-analysis reported that the median BEC was higher in COPD patients compared to  
162 controls, although the 95% CI overlapped (34). There was high heterogeneity between studies,  
163 likely due to different characteristics of populations, particularly controls where co-morbid  
164 conditions that increase BEC (e.g. current smoking, allergies and obesity(31)) may not have  
165 been fully accounted for.

166

167 **Conclusion:** While the evidence is not consistent across all publications, there are three studies,  
168 including a very large population study, showing that, on average, BEC are higher in COPD  
169 patients, with a subgroup of COPD patients showing higher counts than seen in controls (26,  
170 27, 31). These observations suggest upregulation of mechanisms that increase eosinophil  
171 production from the bone marrow (i.e., the action of GM-CSF, IL-3 and IL-5(4)) or eosinophil



172 survival in some COPD patients. The lack of consistency across studies may reflect sample  
173 size and / or the influence of co-morbidities on BEC (31).

174

## 175 **BEC; association with future risk or disease progression**

### 176 **FEV1 decline**

177 In healthy individuals who did not have asthma in the Dunedin Multidisciplinary Health and  
178 Development Study (n=971), higher BEC were associated with faster FEV<sub>1</sub> decline between  
179 the ages of 21 years and 38 years (35). The relationship persisted after adjusting for smoking.  
180 Another study retrospectively analysed private healthcare screening records (n>359,000) of  
181 younger adults without a history of asthma or airflow obstruction (mean age 36 yrs; median  
182 follow up 5.6 years) (36). The development of airflow obstruction was associated with higher  
183 BEC at baseline, which was also observed in the smoker subgroup. Additionally, there was an  
184 association between higher BEC and the development of physician diagnosed COPD plus  
185 spirometric confirmation of airflow obstruction, defined as FEV<sub>1</sub>/FVC <0.7 and FEV<sub>1</sub> <80%.  
186 A limitation of this study is that post-bronchodilator spirometry was not performed. In the  
187 CANCOLD study (n=1120; mean age 65 years), using a multivariate regression model which  
188 accounted for baseline factors including FEV<sub>1</sub>, exacerbation history and ICS use, individuals  
189 with BEC ≥300 cells / μL had more rapid FEV<sub>1</sub> decline than those with <150 cells / μL (mean  
190 difference 34.3 ml / year) (29). The same pattern was apparent in the COPD subgroup (n=466).  
191 Overall, these data from large cohort studies show that higher BEC are associated with more  
192 rapid FEV<sub>1</sub> decline both in younger adults without airflow obstruction and patients with COPD  
193 and, in some individuals, this leads to the development of COPD.

194

195 Data from UK electronic medical records from COPD patients with FEV<sub>1</sub> 50 – 90% predicted  
196 (n=12,178) showed greater FEV<sub>1</sub> decline in patients with more exacerbations over >3 years  
197 follow up (37). There was an interaction between exacerbation frequency and BEC, with a  
198 more rapid loss of lung function in patients with  $\geq 2$  exacerbations / year and BEC  $\geq 350$   
199 cells/ $\mu$ L, which was reduced by ICS use. However, in patients without exacerbations, the rate  
200 of FEV<sub>1</sub> decline was approximately 10ml/year less in patients with BEC $\geq 350$  cells/ $\mu$ L  
201 compared to those with lower BEC. This study confirms the importance of exacerbations as a  
202 determinant of FEV<sub>1</sub> decline (38), and demonstrates complex relationships between BEC and  
203 FEV<sub>1</sub> decline which are dependent on both exacerbation frequency and ICS use. An analysis  
204 of >26,000 COPD patients from the same database source showed that new ICS use versus no  
205 ICS use was associated with reduced FEV<sub>1</sub> decline in subjects with BEC >150 / $\mu$ L(39), but  
206 exacerbations were not analysed. A post-hoc analysis of the ISOLDE study also showed that  
207 in patients with BEC  $\geq 2\%$ , FEV<sub>1</sub> decline was reduced by ICS treatment (40). The Hokkaido  
208 COPD cohort, with a smaller sample size (n=279) and low ICS use (<15%), reported that mean  
209 BEC were lower in the rapid decliners compared to the slow decliners or sustainers (41). Again,  
210 exacerbations were not reported in this study.

211

212 **Conclusion:** In younger individuals without COPD, there is evidence of an association between  
213 higher BEC and both faster FEV<sub>1</sub> decline and the development of airflow obstruction (35, 36).  
214 These observations mechanistically implicate eosinophils and / or other associated components  
215 of T2 inflammation in the development of COPD, at least in some patients. In patients with  
216 confirmed COPD, the association between higher BEC and FEV<sub>1</sub> decline is complex and  
217 findings from cohort studies have been inconsistent, being influenced by disease heterogeneity  
218 including prior exacerbation frequency and use of ICS(37-39). These complexities mean that  
219 using BEC alone in COPD patients to predict lung function decline is a simplistic approach

220 that is unlikely to be of clinical utility. Nevertheless, FEV<sub>1</sub> decline appears to be greater in  
221 individuals with more exacerbations(37, 38), and ICS may reduce the rate of decline in  
222 individuals who have greater exacerbation risk plus higher BEC(37). These observational data,  
223 following COPD patients for  $\geq 3$  years, support the results of multiple RCTs conducted over 1  
224 year; both demonstrate a relationship between BEC and ICS benefits in COPD patients with a  
225 history of exacerbations (14-16, 42).

226

### 227 *Exacerbation risk*

228 Some cohort studies have reported an association between BEC and exacerbation risk in  
229 patients with COPD, while others have found no relationship (30, 43-49). These contradictory  
230 findings generally reflect differences in baseline exacerbation history (which is the strongest  
231 predictor of exacerbation risk(50)) and ICS use, which RCTs have shown weaken the  
232 relationship between exacerbation risk and BEC(14, 16, 17, 42). Cohort studies have generally  
233 not adjusted for these factors. Analysis of two cohorts with prospective follow up data (n=1113  
234 and n=1895) reported that BEC  $\geq 300$  cells/ $\mu$ L were associated with increased exacerbation  
235 frequency; this association was driven by the subgroup of individuals with  $\geq 2$  exacerbations in  
236 the year before study start, with incident risk ratios of 1.96 and 1.4 for individuals with BEC  $\geq$   
237 300 cells/ $\mu$ L versus  $< 300$  cells/ $\mu$ L in this subgroup (51). The relationships between BEC and  
238 exacerbation risk remained after adjusting for ICS use.

239

240 Analyses of RCTs investigating ICS containing combination treatments in COPD patients with  
241 a history of exacerbations have shown that higher baseline BEC are associated with a higher  
242 rate of exacerbations over 12-months in patients not treated with ICS (15-17, 42). In contrast,  
243 a pooled analysis of 11 RCTs investigating LABD, involving patents with and without a history

244 of exacerbations, found no relationship between BEC and exacerbation rates in patients not  
245 taking ICS (who also had lower exacerbation rates) (52). Exacerbation rates in patients taking  
246 ICS with were slightly higher (9%) in patients with BEC >300 cells /  $\mu\text{L}$  compared to those  
247 with counts  $\leq 150$  cells /  $\mu\text{L}$  (52).

248

249 **Conclusion;** Exacerbation history remains the best predictor of future exacerbation risk (50,  
250 51). The potential usefulness of BEC as a predictor of future exacerbation risk is restricted to  
251 patients with a history of exacerbations, and BEC have been consistently associated with  
252 exacerbation risk in the non-ICS treatment arms of RCTs involving this clinical phenotype(15-  
253 17, 42). However, in cohort studies this relationship is less consistent, being modified by ICS  
254 use and influenced by the inclusion of low exacerbation risk individuals(30, 43-49, 51).  
255 Consequently, BEC are not a useful stand-alone biomarker of exacerbation risk in clinical  
256 practice.

257

### 258 **Mortality**

259 In the CHAIN and BODE cohorts, all-cause mortality over 20 years was lower in COPD  
260 patients with high BEC compared with those with values <300 cells/ $\mu\text{L}$  (15.8% versus 33.7%;  
261  $p=0.026$ ) after adjusting for age, sex, body mass index (BMI), lung function and Charlson  
262 index(30). Over half the patients were taking ICS but the analysis was not adjusted for this. In  
263 a French cohort, there was no relationship between BEC and 3-year survival, with over 85%  
264 of patients taking ICS (46). The ETHOS RCT, conducted in patients at high exacerbation risk,  
265 showed that the benefit of ICS (as part of triple combination treatment) on mortality was greater  
266 at higher BEC(53). This mortality benefit due to ICS was accompanied by exacerbation  
267 prevention at higher BEC(15).

268

269 **Conclusions.** BEC used alone are not a reliable predictor of mortality, as the risk is modified  
270 by ICS use. However, in the high exacerbation risk phenotype, RCT evidence supports higher  
271 BEC as a biomarker of increased mortality risk in individuals not using ICS(53).

272

### 273 **BEC and T2 inflammation**

274 The consistent relationship between BEC and ICS effects on exacerbation rates in COPD RCTs  
275 indicates that BEC reflect differential profiles of pulmonary inflammation within a  
276 heterogeneous condition(14, 16, 17, 54). Significant associations have been reported between  
277 BEC and pulmonary eosinophil counts (from sputum or lung tissue), with the strength of the  
278 relationship ranging from 0.18 to 0.7(49, 55-60). While these studies confirm that BEC reflect  
279 pulmonary eosinophil numbers, the association has been weak in some studies. The reasons  
280 for a weak association include the inherent variability of lung sampling (e.g. between day  
281 variation in sputum eosinophil counts(10)) and sometimes a lack of methodological precision  
282 in eosinophil counts (e.g. using only one significant figure for BEC) (49). Furthermore, the  
283 distribution of eosinophils in lung tissue is patchy (61), which may explain the lack of  
284 association between blood and tissue eosinophils in one study (62), in contrast to the positive  
285 relationship reported in other studies (55, 63, 64).

286

287 Studies using bronchoscopy, induced sputum and lung surgical tissue samples have  
288 demonstrated a T2 inflammation profile in patients with higher BEC. Kolsum et al obtained  
289 bronchoscopy and sputum samples from 41 COPD patients with higher (>250 cells /  $\mu$ L) or  
290 lower (<150 cells /  $\mu$ L) BEC(64), and no previous asthma diagnosis or skin testing evidence of  
291 atopy. The higher BEC group had increased eosinophil counts in sputum, bronchoalveolar

292 lavage and bronchial mucosal tissue, plus increased protein levels of mediators involved in  
293 eosinophil activation and chemotaxis (IL-5 and C-C motif chemokine ligand (CCL)24). The  
294 higher BEC group also exhibited increased reticular basement membrane thickening. A  
295 subsequent analysis of this study focused on gene expression of six T2 markers increased in  
296 patients with asthma (65). Four genes, namely chloride channel accessory 1 (CLCA1), CCL26,  
297 IL-13 and cystatin SN (CST1), had increased expression in both sputum cells and bronchial  
298 brushings in the higher BEC COPD group, with these results validated in sputum samples from  
299 a different cohort (n=33). Bronchial epithelial brushings from the Emphysema versus Airway  
300 disease (EvA) study (n=283) also showed differential gene expression in bronchial brushings  
301 from COPD patients with higher BEC, including CLCA1, CCL26 and CST1 (66). An asthma  
302 cohort, analysed by the authors for comparison, showed far more differentially expressed genes  
303 associated with BEC, suggesting a restricted T2 signature in COPD compared to asthma.  
304 Sputum cells obtained at the baseline visit of a RCT showed a differential gene expression  
305 profile in samples with eosinophil counts  $\geq 3\%$  versus  $< 3\%$ , including known T2 markers(67).  
306 Jogdand et al reported that eosinophil numbers in the conducting airways and lung parenchyma  
307 were associated with more severe COPD and tissue basophil counts(61).

308

309 **Conclusion:** Higher BEC in COPD patients are associated with both increased numbers of  
310 eosinophils and levels of markers of T2 inflammation in the lungs(64-66). This differential  
311 inflammation profile could explain the association between BEC and ICS responses, as T2  
312 inflammation can respond well to corticosteroid treatment(68, 69). RCTs of biological  
313 treatments targeting IL-5 or the IL-5 receptor, thereby reducing BEC, have failed to  
314 demonstrate efficacy on exacerbation rates (the primary endpoint) in COPD populations  
315 enriched for increased exacerbation risk and higher BEC(70, 71). A contributor to these  
316 negative outcomes is that higher BEC appear to mark a wider T2 inflammation profile(61, 64-

317 66), and selective depletion of eosinophil numbers will not modulate other T2 components.  
318 BEC could be used as a biomarker to identify COPD patients suitable for clinical trials of novel  
319 therapeutics targeting T2 pathways(14).

320

### 321 **BEC and microbiome**

322 Sputum samples obtained during the stable state from 510 patients with COPD were analysed  
323 for cell counts and microbiome characteristics (by 16S rRNA sequencing) (10). Cross sectional  
324 analysis showed that neutrophilic inflammation was associated with heterogeneous  
325 microbiome patterns, including a subset with a haemophilus dominant microbiome. In contrast,  
326 eosinophilic inflammation was associated with several non-dominant genera but not  
327 haemophilus. Longitudinal analysis showed that eosinophilic samples that became non-  
328 eosinophilic over time also did not display a haemophilus dominant microbiome. Similarly,  
329 studies in COPD patients using quantitative polymerase chain reaction quantification of  
330 bacterial species have shown that haemophilus influenzae presence is associated with higher  
331 sputum neutrophil counts and lower sputum eosinophil counts (72-74). Interestingly,  
332 bronchoscopy samples from COPD patients with lower (versus higher) BEC showed decreased  
333 immunoglobulin subtype levels and reduced opsonisation of non-typeable haemophilus  
334 influenzae; this provides a possible explanation for higher sputum haemophilus influenzae  
335 levels in patients with lower eosinophil counts (75).

336

337 Dicker et al showed that higher BEC were associated with lower proteobacteria abundance  
338 (which includes the haemophilus genera), and greater abundance of the firmicutes phyla in a  
339 cohort of 296 COPD patients(76). Furthermore, there was an increase in haemophilus  
340 abundance for patients with BEC  $\leq 100$  cells /  $\mu\text{L}$  compared to  $> 100$  cells /  $\mu\text{L}$ . Subgroup

341 analysis showed that the profile of inflammatory proteins in sputum was different in samples  
342 with proteobacteria dominance, favouring mediators of neutrophilic inflammation, when  
343 compared to firmicutes dominant samples. Overall, these cohort studies have highlighted that  
344 lower eosinophil counts (in sputum and blood) are associated with a different microbiome  
345 profile, characterised by increased proteobacteria.

346

347 Martinez-Garcia et al reported that  $\text{BEC} < 100 \text{ cells} / \mu\text{L}$  were associated with increased  
348 incidence of chronic bacterial infection (CBI) and pneumonia episodes in 201 COPD patients  
349 (median follow up 7 years) (19). A multivariate regression model showed that age,  $\text{FEV}_1$ , CBI,  
350 and  $\text{BEC} < 100 \text{ cells}/\mu\text{l}$  were all independently associated with greater pneumonia risk. Higher  
351 BEC thresholds ( $< 150 \text{ cells} / \mu\text{l}$  and  $< 300 \text{ cells} / \mu\text{l}$ ) were not significantly associated with  
352 increased pneumonia risk. ICS use was not associated with pneumonia risk in the overall  
353 population, although ICS further increased the risk of pneumonia (hazard ratio [HR] 2.9) in  
354 those with CBI and  $< 100 \text{ eosinophils}/\mu\text{l}$ . A pooled analysis of 10 randomised control trials of  
355 ICS containing combination treatments in COPD patients showed that the risk of pneumonia  
356 was higher in patients at baseline  $\text{BEC} < 2\%$  versus  $\geq 2\%$ ; HR 1.31 (95% CI 1.06–1.62)(18). A  
357 potential explanation for these pneumonia findings comes from a small COPD RCT (n=60)  
358 which showed that ICS containing combination treatment over one year increased sputum  
359 bacterial load, in contrast to no change without ICS; this increase was present in those with  
360 lower BEC only(77).

361

362 **Conclusion:** Recent studies have consistently shown that lower sputum and blood eosinophil  
363 counts are associated with an increased presence of proteobacteria phylum/haemophilus  
364 genera(10, 72-74, 76). Lower BEC also appear to be associated with an increased risk of



365 recurrent bacterial infections and pneumonia, and these risks seem to be increased by ICS use  
366 in patients with lower BEC(19, 77, 78). Overall, these findings regarding microbiome and  
367 pneumonia risk provide additional reasons not to use ICS in COPD patients with lower BEC.

368

### 369 **Summary and conclusions**

370 The GOLD 2019 report first introduced BEC as a biomarker to help make pharmacological  
371 treatment decisions, concerning ICS use, in COPD patients with a history of exacerbations (3).

372 The GOLD 2022 report now adds various additional evidence concerning BEC (key points  
373 shown in Table 1), including the connections between BEC, T2 inflammation(61, 64-66) and  
374 lung microbiome(10, 72-74, 76) which identify COPD subgroups with increased ICS response  
375 (higher BEC) or increased risk of bacterial infection (lower BEC); summarised in Figure 1.

376 This evidence supports an integrated evaluation of clinical history (notably exacerbation  
377 history), BEC and sputum microbiology in order to provide a personalised management  
378 approach with regard to when ICS should be used on top of LABD and the management of  
379 airway infection.

380 Accumulating evidence indicates an association between lower BEC and the incidence of both  
381 CBI and pneumonia events (18, 19), coupled with a differential microbiome profile (greater  
382 abundance of haemophilus influenza)(10, 72-74, 76). Based on this evidence, lower BEC (<100  
383 cells/ $\mu$ l) could be used as a biomarker, in combination with clinical history, to help identify  
384 patients who require careful monitoring for bacterial colonisation. Furthermore, in these  
385 individuals, the absence of T2 inflammation coupled with the increased risk of bacterial  
386 infection, argues against the use of ICS. The importance of bacterial colonization was  
387 demonstrated in an observational COPD cohort where exacerbation risk was greatest in  
388 individuals with haemophilus influenza colonization and exposure to rhinovirus infection (79),

389 indicating an interplay between pathogens leading to worse clinical outcomes. Further studies  
390 should elucidate the mechanisms responsible for the association between T2 inflammation and  
391 the microbiome, as this may help identify novel therapeutic interventions.

392

393 COPD patients with higher BEC have more T2 inflammation (61, 64-66), which can explain a  
394 differential response to ICS. It is important to note that RCTs have demonstrated a benefit for  
395 ICS (as part of combination treatments) only in COPD patients with an exacerbation history in  
396 the previous year (3, 14). There is currently no evidence supporting ICS intervention in COPD  
397 patients with higher BEC but without a history of exacerbations, although this is an evidence  
398 gap worth considering. Furthermore, the association between higher BEC and FEV1 decline in  
399 younger adults (36) provides a rationale to study the effects of ICS on disease progression /  
400 lung function decline in younger COPD patients with higher BEC (80).

401

402 BEC are not a stand-alone biomarker of future risk (of FEV1 decline, exacerbations, and  
403 mortality) in patients with COPD, due to the complex relationship with exacerbation risk and  
404 confounding due to ICS use(37). However, in younger individuals, higher BEC may serve as  
405 a biomarker to help identify those at increased risk of developing COPD (36), and further  
406 evidence is needed to evaluate the utility of BEC in this context.

407 RCTs have shown that, in COPD patients with a history of exacerbations, higher BEC identify  
408 a subgroup with increased exacerbation risk that can be therapeutically modified by ICS(15-  
409 17). On the other hand, we also point out a subgroup with lower BEC (<100 cells/ $\mu$ l) with a  
410 different microbiome profile and increased risk of chronic bacterial infection(19, 76). These  
411 findings might suggest that BEC predict a “U shaped” future risk curve, albeit one that is  
412 influenced by other factors including exacerbation history and ICS use.

413 In conclusion, the GOLD 2022 report incorporates new evidence regarding BEC, notably the  
414 relationships with T2 inflammation(64-66) and the microbiome(10, 72-74, 76). These findings  
415 further our understanding of COPD subtypes, facilitating precision medicine strategies based  
416 on clinical phenotyping combined with endotyping(9, 11).

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422

## 423 Figure legends

424 **Figure 1:** The relationships between blood eosinophil counts (BEC) and Type-2 (T2)  
425 inflammation, microbiome, bacterial infection / pneumonia episodes and inhaled corticosteroid  
426 (ICS) response (exacerbation prevention).

427

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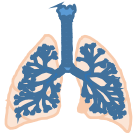
**Table 1. GOLD 2022 Report: Key evidence and recommendations  
for blood eosinophil counts in COPD**

<p><b>Prediction of ICS benefits</b></p> <ul style="list-style-type: none"> <li>• The use of BEC to predict ICS effects should be combined with exacerbation risk (using exacerbation history)</li> <li>• The relationship between BEC and ICS effects is continuous; no / small effects are observed at lower BEC, with increasing effects at higher BEC</li> <li>• &lt; 100 cells/<math>\mu</math>L and <math>\geq</math> 300 cells/<math>\mu</math>L are estimates, not precise cut-off values, to identify individuals with the lowest and greatest (respectively) likelihood of ICS benefit</li> </ul>
<p><b>Type-2 inflammation</b></p> <ul style="list-style-type: none"> <li>• Higher BEC are associated with increased lung eosinophil numbers and higher levels of type-2 inflammation markers in the airways</li> <li>• The differences in type-2 inflammation can explain the differential ICS response according to BEC</li> </ul>
<p><b>COPD versus controls</b></p> <ul style="list-style-type: none"> <li>• A subset of COPD patients have BEC above those found in controls</li> </ul>
<p><b>Microbiome</b></p> <ul style="list-style-type: none"> <li>• Lower BEC are associated with greater presence of proteobacteria, notably haemophilus, and increased bacterial infections and pneumonia</li> </ul>
<p><b>Future risk (of exacerbations / disease progression)</b></p> <ul style="list-style-type: none"> <li>• In younger individuals without COPD, higher BEC are associated with increased risk of FEV<sub>1</sub> decline and the development of COPD</li> <li>• BEC cannot be used as a standalone biomarker of future risk without considering exacerbation risk and ICS use</li> </ul>

**Abbreviations: BEC = blood eosinophil count. ICS= inhaled corticosteroid**

Low BEC\*

Increasing BEC



T2 airway inflammation



Microbiome



Bacterial infection /  
pneumonia



ICS response\*\*

↑ tissue eosinophils  
↑ T2 mediators

↑ proteobacteria  
↑ haemophilus influenzae

↑ increased events

absent / low

↑ response

\* < 100 cells/μL

\*\* In COPD patients with increased exacerbation risk